



SUBSIDENCE REPAIRS & UNDERPINNING / RETROFIT BASEMENTS / ENGINEERED FOUNDATIONS

Risk Factors	High	Medium	Low
		Annual (audited every year)	Biennial (audited every 2-years)
Major non-conformities	2 or more	1	0
Minor non-conformities	5 or more	Up to 3	0
Opportunities for improvement	7 or more	Up to 5	0
Grading rationale	Poor compliance The organisation has failed to meet the audit criteria to a significant level and has not demonstrated the required capability and technical competence to deliver its audit categories as identified in the Application Review	Partial compliance The organisation has failed to meet the audit criteria to a satisfactory level but has demonstrated the required capability and technical competence to deliver its audit categories as identified in the Application Review	Full compliance The organisation has fully met the audit criteria to a satisfactory level and demonstrated the required capability and technical competence to deliver its audit categories as identified in the Application Review
Audit outcome	Corrective Action Plan (CAP) required within 10-days and full submission of supporting documents within 12-weeks	Corrective Action Plan (CAP) required within 10-days	None
Surveillance regime	Full audit to the Common Assessment Standard and on-site technical inspection		
RISK	HIGH	MEDIUM	LOW
Notes	<ul style="list-style-type: none"> New applicants are subjected to annual surveillance for the first 2-years regardless to establish a clean track record. Complaints that relating to certified members and the object of conformity may also be considered and subject to review by the Scheme Board Complaints and Appeals panel. 		